

Numen Capital LLP RTS28 2021 Report

Class of Instrument

1 Equities - Liquidity Bands 5 and 6

Yes - Less than 1 average trade per day

Rank	Broker	% of Volume	% of Orders
1	ALTA	38.84%	44.44%
2	UBSL	30.71%	22.22%
3	BTBK	30.45%	33.33%
4			
5			
		100.00%	100.00%

2 Equities - Liquidity Bands 3 and 4

Yes - Less than 1 average trade per day

Rank	Broker	% of Volume	% of Orders
1	ALTA	59.99%	57.14%
2	BTBK	40.01%	42.86%
3			
4			
5			
		100.00%	100.00%

3 Equities - Liquidity Bands 1 and 2

Yes - Less than 1 average trade per day

Rank	Broker	% of Volume	% of Orders
1	ALTA	45.79%	50.00%
2	UBSL	30.51%	20.59%
3	BTBK	23.70%	29.41%
4			
5			
		100.00%	100.00%

4 Debt instruments - Bonds

No - Less than 1 average trade per day

Rank	Broker	% of Volume	% of Orders
1	EQUI	20.93%	25.40%
2	JPML	13.21%	11.90%
3	BNPP	10.37%	5.47%
4	CITI	8.30%	4.82%
5	MSIL	7.70%	7.72%
		60.51%	55.31%

5 Interest rate derivatives - Futures and options

Yes - Less than 1 average trade per day

Rank	Broker	% of Volume	% of Orders
1	UBSL	96.76%	96.40%
2	TRAD	3.24%	3.60%
3			
4			
5			
		100.00%	100.00%

6 Interest rate derivatives - Swaps, forwards and other interest rate derivatives

Yes - Less than 1 average trade per day

Rank	Broker	% of Volume	% of Orders
1			
2			
3			
4			
5			
		0.00%	0.00%

7 Credit derivatives - Other credit derivatives

Yes - Less than 1 average trade per day

Rank	Broker	% of Volume	% of Orders
1	GSIL	42.52%	35.79%
2	JPML	26.83%	23.16%
3	MSIL	16.24%	24.21%
4	BNPP	14.41%	12.63%
5	BARC	0.00%	3.16%
		100.00%	98.95%

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8 Currency derivatives - Futures and options

No - Less than 1 average trade per day

Rank	Broker	% of Volume	% of Orders
1	UBSL	100.00%	100.00%
2			
3			
4			
5			
		100.00%	100.00%

9 Currency derivatives - Swaps, forwards and other currency derivatives

No - Less than 1 average trade per day

Rank	Broker	% of Volume	% of Orders
1	UBSL	0.34%	0.34%
2			
3			
4			
5			
		0.34%	0.34%

10 Equity Derivatives - Options and Futures

No - Less than 1 average trade per day

Rank	Broker	% of Volume	% of Orders
1	UBSL	99.79%	98.53%
2	EQUI	0.17%	1.20%
3	TRAD	0.03%	0.27%
4			
5			
		100.00%	100.00%

11 Contracts for difference

No - Less than 1 average trade per day

Rank	Broker	% of Volume	% of Orders
1	UBSL	54.96%	62.06%
2	BTBK	25.64%	29.80%
3	JPML	7.85%	1.84%
4	EQUI	7.01%	3.07%
5	UNIC	2.26%	1.38%
		97.72%	98.16%